



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

MANTZARIDIS et al

Atty. Ref.: 2425-18

Serial No. 09/973,568

Group: 3736

Filed: October 9, 2001

Examiner: J.M. Foreman

For: ANAESTHESIA CONTROL SYSTEMS

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

March 26, 2004

Sir:

INFORMATION DISCLOSURE STATEMENT

Submitted herewith are copies of the articles listed in the appendix of the above-referenced patent application. Although Applicants believe that these references have been considered during prosecution, these references are being formally submitted out of an abundance of caution.

- ☒ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action on the merits]
N/C
- ☐ 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance (requires Rule 97(e)
Statement or Rule 17(d) fee)]
N/C

- ☐ 3. **Pursuant to 37 CFR 1.97(d)**
[after Final Office Action or Allowance (requires Rule 97(e)
Statement and Rule 17(p) fee), but before final fee payment]
\$180.00

The following are submitted in the above-identified application in compliance with
37 C.F.R. §§ 1.97 and 1.98:

- ☒ 4. A list of documents on Form PTO-1449 together with copies of each
identified document and a translation or a concise explanation of
each non-English language document (such as a Search Report) is
enclosed herewith.

This paper is submitted in accordance with:

- ☒ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier];
and
- ☐ a) The required Statement made in item 8 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this
Information Disclosure Statement is authorized in item 9 below.
- ☐ 7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e)
Statement and Rule 17(p) fee), but before final fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith;
and
- ☐ b) The required Statement is stated in item 8 below.

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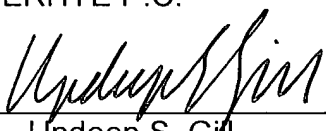
patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or

- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 9. Please charge all deficiency fees or credit any overpayment associated with the submission of this Information Disclosure Statement to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

1	SEBEL et al., "The Cebrel Function Analysis Monitor (CFAM): A New Microprocessor-based Device for the On-line Analysis of the EEG and Evoked Potentials," British Journal of Anaesthesia (1983), Vol. 55, No. 12, pp. 1265-1270
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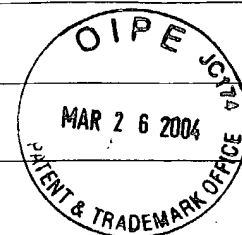
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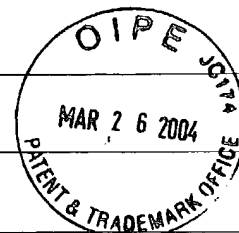
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